

## Substantiation Statement for Vermont Diesel Emissions Reduction Financial Assistance Program

The Vermont Diesel Emissions Reduction Financial Assistance Program provides funding and technical assistance for eligible diesel emission reduction projects for locomotives, marine vessels, and stationary engines. Our financial assistance program may provide funding for idle reduction technology, engine upgrades, engine repowers, and/or replacement for eligible locomotives, marine vessels, and stationary engines. The funding comes from the U.S. Environmental Protection Agency (EPA) under the federal Diesel Emission Reduction Act (DERA), which has certain requirements regarding eligible projects and cost share. For example, funding may be available for up to 40% of the cost (equipment and labor) of idle reduction technology, engine upgrades, and/or engine repowers for locomotives, marine vessels, and stationary engines; and up to 25% of the cost of a new replacement stationary or auxiliary diesel powered electric generator (genset).

Specifically, projects involving locomotives and marine engines are not eligible for funding if the emission reductions are required by EPA's locomotive and marine rule, "Control of Emissions of Air Pollution from Locomotives and Marine Compression-Ignition Engines Less than 30 liters per Cylinder." Also, projects involving stationary engines will not be considered for funding if the emission reductions proposed for funding are required by EPA's RICE rule, "National Emission Standards for Hazardous Air Pollutants (NESHAP) for Stationary Reciprocating Internal Combustion Engines (40 CFR Part 63 Subpart ZZZZ)". Projects which include locomotives and/or marine engines and/or stationary engines must provide a clear and concise justification for why/how the proposed emission reductions are not subject to the Restriction for Mandated Measures. The justification must clearly demonstrate that:

- the target engines are exempt from any federal requirements; or
- emission reductions funded under the Program will be implemented prior to the effective date of any applicable federal requirements; and/or
- emission reductions funded under the Program will not be used to satisfy any applicable federal requirements, but instead are in excess of (above and beyond) those required by the applicable mandate.

For any projects involving locomotives, marine engines, or stationary engines, please complete this form and return the electronic copy to:

Attn.: Jean Nicolai  
Department of Environmental Conservation  
[jean.nicolai@vermont.gov](mailto:jean.nicolai@vermont.gov)  
(802) 585-4888

Questions regarding the program guidelines or the application form should be directed to Jean Nicolai via e-mail at [jean.nicolai@vermont.gov](mailto:jean.nicolai@vermont.gov)

# Substantiation Statement for Vermont Diesel Emissions Reduction Financial Assistance Program

## Applicant Information

Applicant Name: \_\_\_\_\_

Organization Name: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP Code: \_\_\_\_\_

## Justification

*Please describe the proposed project and why/how the proposed emission reduction are not subject to the Restriction for Mandated Measures. Sufficient information must be provided to support the justification, including maintenance records, if applicable. If more room is needed, please attach extra sheets to this form.*

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## Applicant Representations and Signature

The applicant, by signing and submitting this application, makes the following representations with the understanding that the Department of Environmental Conservation (DEC) will rely on these representations for the purpose of evaluating this application. The applicant understands and acknowledges that should any of these representations be untrue, the Department may rescind any award of assistance and, in the Department's sole discretion, pursue any other appropriate remedy or relief:

1. All information contained in this application is true and complete to the best of the applicant's knowledge and belief;
2. The applicant is authorized to submit this application;
3. The applicant has reviewed and understands EPA's locomotive and marine rule, "Control of Emissions of Air Pollution from Locomotives and Marine Compression-Ignition Engines Less than 30 liters per Cylinder" and/or EPA's RICE rule, "National Emission Standards for Hazardous Air Pollutants (NESHAP) for Stationary Reciprocating Internal Combustion Engines (40 CFR Part 63 Subpart ZZZZ)"; and,
4. If financial assistance is awarded to the applicant, the applicant agrees to comply with all applicable statutory provisions, and with the applicable terms, conditions, procedures and reporting requirements of the DEC financial assistance regulations and of the financial assistance program agreement.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

*Authorized Signatory*